



While representing himself or herself as a member of the Wharton community, the Wharton student will maintain the highest standards of honesty and integrity. The student will strive for these standards in his or her representations, academic pursuits, and respect for the property and individual rights of others; will uphold the specific principles described in the Code; and will actively support the Code.

- Wharton MBA Code of Ethics, 1989, 2005

Wharton MBA Code of Ethics

As a Wharton MBA student **you** are expected to...

- Represent yourself truthfully
- Use honest methods in all pursuits
- Respect the individual rights and property of others

Prohibited behavior includes (but is not limited to)...

- Lying to a fellow student, faculty member, administrator, or prospective employer
- Misrepresenting the originality of one's work (Plagiarism)
- Giving or receiving unauthorized aid on an assignment or exam
- Accessing, removing, or destroying information, materials, or other property belonging to another without first obtaining permission
- Utilizing for commercial gain any material provided to Wharton for educational purposes without first obtaining permission

Group Discussion:

Describe a time you behaved ethically
when pressured to do otherwise...

Thought Exercise: Overtime

The exam clock expires, the proctor calls “time” then instructs all students to stop writing and bring their exams to the front of the class.

Bob does not comply. While his classmates pack up their belongings and bring their exams to the front of the room, Bob continues to write. Several students remind Bob that time has been called; he hears but chooses to ignore them.

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If the proctor does not catch Bob, what impact will his behavior have...

- on the other students in the class**
- on the community at large**
- on himself**

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If the proctor does catch Bob, what impact will his behavior have...

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Cases that were recently brought forward and examined by the Ethics committee:

Example #1: In several courses students are being asked to check into the class via Wharton Connect. Wharton Connect does not make use of GPS data. The instructor notices that student X regularly appears checked-in on the seating chart in the course, although the student is not physically present in the classroom.

A violation of the Ethics code?

Yes, Paragraph A.3 – Lying to a faculty member in order to gain preferential treatment.

Cases that were recently brought forward and examined by the Ethics committee:

Example #2: A group assignment is due in a core course tomorrow. The syllabus states that group members are not allowed to work with others on the respective assignment. Two groups share answers, their submissions show significant overlap, the TAs notice the similarities and inform the instructor.

A violation of the Ethics code?

Yes, Paragraph B.1 – Giving or receiving any unauthorized aid on an assignment or exam. Important to note is that both groups, those that receive and those that give out the information, have been found guilty.

Cases that were recently brought forward and examined by the Ethics committee:

Example #3: A student is giving a speech on a political topic in Communications WHCP611, a class intended to help you improve your speaking skills. The speech is being recorded for the purpose of giving feedback and the instructor notices a large overlap with a speech given by a politician a year earlier. The student does not acknowledge the source.

A violation of the Ethics code?

Yes, Paragraph A.4 – Misrepresenting the originality of one's work, including failing to cite the contributions of another.

Cases that were recently brought forward and examined by the Ethics committee:

Example #4: In an effort to complete two summer internships, a student claims he has permission from the instructor to skip the final exam end of April and to not attend classes in April in order to start working early. Obviously, the instructor would never give this approval.

A violation of the Ethics code?

Yes, Paragraph A.1 – Lying to prospective employers, either directly through oral or written statements or indirectly through misrepresentation of background in resume.

The Ethics Committee

Structure

- 9 - 18 Second-Year students elected (in April)
Assisted by 4 First-Year Ethics Liaisons elected by each cluster
- Chairs this year: Natasha Kanchan and Stephan Mcilhenny

Function

- Promote ethics awareness and education through outreach programs and academic initiatives
- Oversee changes and modifications to Wharton MBA Code of Ethics
- Answer ethics-related questions
- Facilitate discussion about ethics between students and faculty
- Hear cases brought to the administration
- Make recommendations to the administration regarding sanctions

Ethics Liaisons

Structure

4 First-Year Students elected by cluster (September)

Work with Wharton Administration and Ethics Committee to address class-specific issues, implement programming, and facilitate communication with the committee.

Function

- Serve as confidential sounding board and link between cluster, faculty, and Ethics Committee for any ethics-related questions and issues
- Assist Ethics Committee in various outreach initiatives

Who brings a case to the committee and how?

Who: Any member of the Wharton community

- Students
- Faculty
- Administrators/Staff

How: Complaints must be submitted in writing to

- Member of the Ethics Committee
- Administrator in the Graduate Division
 - Administrative Liaisons: Stephan Dieckmann & Howie Kaufold

Complaints may be filed anonymously

What happens when a complaint is submitted?

Code Advocate is assigned to the case

- Advocate works with complainant and accused to build a file

Ethics Committee hearing

- Committee convenes to consider file and testimony (if necessary) of complainant and accused
- Committee deliberates to determine responsibility of accused
- Committee makes a recommendation regarding sanction

Sanctions

- If the accused is found in violation of the code, sanctions are ultimately decided upon and levied by the administration

Administrative Sanctions include but are not limited to...

- **Prohibited from participating in leadership opportunities and select academic programs**
- **Failing grade**
 - On an individual assignment
 - In the course
- **Notation of ethical violation on transcript**
- **Community service**
- **Supplemental assignments**
- **Suspension**
- **Expulsion**

Intangible Sanctions include but are not limited to...

Loss of credibility & trust within the community

Damage to faculty perceptions of trust & accountability

Damage to the Wharton brand

The screenshot shows a Bloomberg Businessweek article from April 30, 2007, titled "MBA Students Fail Ethics Test" by Allison Damast. The article reports that 34 students at a business school were accused of cheating on an exam. The text describes the school's honor code and the disciplinary action taken against the students. The article is part of a "Business Schools" section on the website. The page also features a "Most Popular" sidebar with various news items and a "Subscribe to our daily Newsletter" form. A video player for "Analytics" is visible at the bottom right of the article content.

FNCE 611/614 Corporate Finance	Materials							People				
	Approved calculator	Laptop / other electronics	Summary sheet	Textbook / class notes	Past notes / summaries	Past exams / assignments	Internet content / other outside materials	FNCE 611/614 Group ¹	Other student(s) in same section	Student(s) in other sections (same term)	Wharton student not taking the class this term	Person outside of Wharton
Problem Sets	A	A	A	A				W	D ¹	D ¹		
Exam Prep	A	A	A	A		A		W	W	W	W	W
Exams	A		A	A		A						
	A = Allowed material Shaded Cell = Not allowed							W = Allowed to work together D = Discussion of general concepts and procedures is allowed but no sharing of specific answers. Shaded Cell = Not allowed				

Other comments:

(1) Problem set write-ups may be done in groups of up to 3 students. General discussion with others in class allowed. **NO SHARING OF SPECIFIC ANSWERS FOR PROBLEM SETS WITH OTHER WORKING GROUPS.** Problem set groups may include students in different sections.

The information above covers many common situations but will not cover every circumstance. Remember:

The Wharton MBA Code of Ethics that you accepted requires, among other things, that you represent yourself and your work honestly, don't try to gain unfair advantage over other students, follow the instructor's guidelines and respect confidentiality of your work and the work of others.

Should you have questions, please contact your Ethics Representative or professor.

Thought Exercise: Plagiarism

Professor Mehta is getting ready to grade students' final papers. Before reading anything she uploads all sixty papers to turnitin.com. Fifty-nine come back fine however one shows 61% similarity with other sources. When Professor Mehta reviews the report she sees that large sections are copied and pasted directly from the internet...



A software platform that “check’s students’ work for... potential plagiarism by comparing it against the world’s largest academic database.”

Proper Citation

What should I cite?

Anything you put in a paper or presentation that is not your original work or common knowledge

books magazines charts lyrics
data speeches interviews tables
articles newspapers films graphs
websites recordings e-mails

Proper Citation

What should I cite?

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Why should I cite?*

- To show your readers that you have done your research
- To give credit to others for work they have done
- To point your readers to sources that may be useful to them
- To allow your readers to check your sources, if there are questions

How do I cite?*

- Use the same style throughout
- Refer to the course syllabus
- Ask your instructor

The Chicago Manual of Style

Modern
Language
Association **MLA**

 APA Style

*<https://provost.upenn.edu/policies/pennbook/2013/02/13/code-of-academic-integrity>

Citation Quiz

Student submission

The Wharton School of the University of Pennsylvania was founded in 1881.

Common knowledge – no need to cite

Citation Quiz



Student submission

The Laffer Curve says that at this point on the revenue curve you will get exactly the same amount of revenue as at this point. This is very controversial. In 1980, George H.W. Bush called this “Voodoo” economics.

Film content

“The Laffer Curve. Anyone know what this says? It says that at this point on the revenue curve, you will get exactly the same amount of revenue as at this point. This is very controversial. Does anyone know what Vice President Bush called this in 1980? Anyone? Something-d-o-o economics. “Voodoo” economics.”

Ferris Bueller's Day Off. Dir. John Hughes, 1986. Film.

Plagiarism – no citation

... also paraphrased text must be substantively distinct

Citation Quiz

Student submission

The Federal Reserve System, which serves as the nation's central bank, was created by an act of Congress on December 23, 1913. The System consists of a seven-member Board of Governors with headquarters in Washington, D.C., and the 12 Reserve Banks located in major cities throughout the United States.

The Federal Reserve Banks are the operating arms of the central banking system, carrying out a variety of System functions, including operating a nationwide payment system; distributing the nation's currency and coin...¹

¹ Board of Governors of the Federal Reserve System (May, 2014). *100th Annual Report*. Federal Reserve System, Washington, D.C.

Web content

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Plagiarism – When using the words of another “enclose them with quotation marks or indent the quote on both sides of the margin.”*

* - http://gethelp.library.upenn.edu/PORT/documentation/plagiarism_policy.html

Why do people cheat?

“Our confidence in our own integrity is frequently overrated. Good people unknowingly contribute to unethical actions...”

- Max Bazerman & Ann Tenbrunsel*

- People are more likely to cheat when they are tired.¹
 - *As an MBA student you are going to be tired... a lot.*
- Repeatedly resisting the urge to cheat depletes one's ability to do so.¹
 - *Surround yourself with ethical people.*
- People are more likely to cheat when they think there is “abundant wealth”²
 - *Grades and LTs are assigned on relative performance.*

Bazerman, Max and Tenbrunsel, Ann (April 20, 2011). Stumbling Into Bad Behavior. *The New York Times*, New York.

1 Gino, Francesca and Schweitzer, Maurice and Mead, Nicole and Ariely, Dan (July, 2011). Unable to resist temptation: How self-control depletion promotes unethical behavior. *Organizational Behavior and Human Decision Processes* 115 (2)

2 Gino, Francesca and Pierce, Lamar (July, 2009). The abundance effect: Unethical behavior in the presence of wealth. *Organizational Behavior and Human Decision Processes* 109 (2)

Why do people cheat?

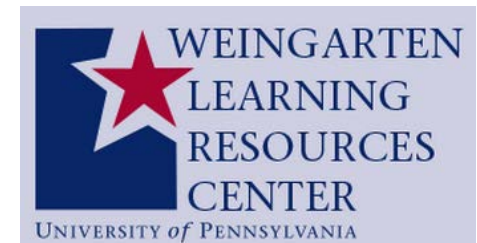
“Our confidence in our own integrity is frequently overrated. Good people unknowingly contribute to unethical actions...”

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- “This above all - to thine own self be true”

-William Shakespeare, The Tragedy of Hamlet, Prince of Denmark

- Be honest about your study habits
- Ask for help
- Get organized
 - Review syllabi at the start of the semester
 - Note key due dates
 - Develop a plan that includes deadlines & short-term goals



The mission of the Wharton School Graduate Division Code of Ethics ("Code") is to promote the growth of ethically responsible business managers at the Graduate Division of the Wharton School ("Wharton") through adherence to the highest standards of academic integrity and overall ethical conduct, to develop a sense of individual responsibility on the part of each member of the Wharton community to participate actively in maintaining such standards, to foster an environment of honor and trust within the Wharton community, and to engender respect for the ethical standards of the Wharton graduate. Fulfilling this mission is a collective responsibility of the members of the Wharton community.

- Wharton MBA Code of Ethics, 1989, 2005



Handbook *for*
Students

Ethics and Original Research

<https://provost.upenn.edu>

- Policies
- Graduate Students
- Ethics and Original Research Handbook



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